

# Parkinson's member guidance

## 2 DRAFT FOR CONSULTATION

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## 7 part of a consultation. If you are seeking guidance or information on this topic, please

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- 9 We appreciate any comments provided to us during the consultation, all of which will be
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- 12 document with supporting evidence e.g. a research reference.
- 13 Members of the working group should not be contacted directly, and all feedback should be
- 14 made through the assigned route e.g. via survey or project manager. Feedback made through
- 15 unassigned routes or after the closing date will not be accepted or responded to.
- 16 Thank you for your support with this project.
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25	Parkinson's
26	Royal College of Speech and Language Therapists
27	and
28	Parkinson's UK
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31	
32	1. About Parkinson's and atypical Parkinson's
33	1.1 Parkinson's
34 35 36 37 38 39	Parkinson's is a neurodegenerative disorder, characterised primarily by loss of dopamine neurons in the substantia nigra. People with Parkinson's (PwP) may experience tremor, mainly at rest, bradykinesia (slow movements), limb rigidity, gait, balance and speech problems. Symptoms generally develop on one side of the body, slowly over years, but the progression may differ. Prevalence is approximately 200 cases per 100,000 population and the incidence is about 25 per 100,000 population.
40 41 42 43 44 45 46	The cause of Parkinson's is probably multifactorial. Diagnosis remains clinical and is based on motor manifestations, aided by imaging investigations (DaT scan, MRI) and genetic testing. Levodopa is the main pharmacological treatment for Parkinson's. Medical management has improved parkinsonian symptoms and quality of life through pharmacological or neurosurgical interventions. However, challenges remain in treating communication, swallowing and saliva, and other non-motor and motor symptoms. These challenges, in turn, affect the ability of PwP to maintain social and family roles and employment.
47 48	Identifying the extent to which these symptoms are present as early in the diagnosis as possible and treating these symptoms earlier could support independent living.
49	
50	1.2 Atypical parkinsonism
51 52 53 54	Atypical parkinsonism, also called Parkinson-plus syndrome, is when the person has parkinsonism plus other features including early balance problems/falling, poor reaction to the drug levodopa, early cognitive problems and impaired control of blood pressure/bowel/bladder. Due to the frequent early occurrence of speech and swallowing difficulties in atypical Parkinson's

the role of the SLT is crucial.



#### 56

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#### 57 **1.2.1 Progressive supranuclear palsy (PSP)**

This is the most common type of atypical parkinsonism, but it is only about one tenth as common as Parkinson's. PSP affects men and woman equally. On average the disease starts in a person's early 60s. The cause of PSP is unknown. PSP is associated with accumulation of a protein in the brain called tau that clumps up in all cell types. The cause of this clumping is unknown. PSP is not usually considered hereditary. PSP is not spread from person to person, and it has not been clearly associated with any environmental exposures.

64 Symptoms of PSP include the following:

- early on, people with PSP often have trouble walking and balancing and may fall
   backwards, often many times a day. They tend to lurch/stagger and move quickly and
   impulsively. Some have problems walking where they feel like their feet are glued to the
   floor (a sensation of 'freezing of gait').
- many people with PSP experience difficulty with eye movements. This makes reading
   difficult and may cause double vision. They can also have involuntary blinking or eye
   closing and difficulty opening the eyes ('blepharospasm').
- people with PSP may move slowly, which leads to them carrying out normal daily living activities slowly.
  - people with PSP may experience stiffness, especially in the neck.
- facial expressions may change. This is often characterised by staring ahead with raised
   eyebrows and a frown on the forehead.
- people with PSP can experience a hoarse, slurred, groaning voice along with swallowing difficulties.
- some people with PSP may experience cognitive problems including loss of motivation
   and inhibition, emotional variability (pseudobulbar palsy) and dementia.
- 81 The condition varies from person to person. In some forms, freezing during walking and
- slowness of movement are the main features. In other forms, there is early tremor and featuresthat look more like Parkinson's.
- PSP is diagnosed based on medical history and neurological examination. When the disease is
- by just beginning, it may look similar to Parkinson's, making diagnosis difficult. There is no blood or
- 86 other test for PSP but sometimes a brain MRI may help make the diagnosis if it shows shrinking

## 87 in the midbrain and frontal lobe areas.

#### 88 **PSP speech characteristics**

- 89 A common initial manifestation of PSP (often within the first two years of diagnosis) is dysarthria.
- 90 It is more frequent and more prevalent in PSP than in Parkinson's. The symptoms of dysarthria
- 91 associated with PSP are mixed: hypokinetic, spastic and ataxic symptoms are consistently
- 92 identified, generally in that order of frequency. The combination of spastic, hypokinetic and
- 93 ataxic components correlates with the loci of neuropathologic changes and recognising them is
- 94 considered important for clinical diagnosis (Duffy, 2019).
- 95 Palilalia is also frequently noted and stuttering or echolalia are mentioned in some studies.



#### 96 **PSP eating, drinking and swallowing characteristics**

97 Unlike PwP, people with PSP are often able to adequately perceive their symptoms of eating,
98 drinking and swallowing difficulties. According to Warnecke (2010), endoscopic evaluation of
99 swallowing in people with PSP showed that penetration/aspiration was more common with
100 liquids than with semi-solids, especially in the initial stages (less than three years after diagnosis)
101 with a pronounced premature spillage, a delayed swallowing reflex and residue in the valleculae
102 and piriform sinuses.

- Due to a disinhibited cough reflex, even small boluses that penetrate the laryngeal vestibule can
   trigger a long-lasting involuntary cough. This violent sustained coughing may give rise to a false
   impression of severe aspiration and may often result in a clinical overestimation of the dysphagia
- 106 severity (clinical observation of the author and reported in Warnecke, Dziewas and Langmore,
- 107 2018. A specific clinical test to distinguish PSP-related dysphagia does not exist.
- 108

#### 109 **1.2.2 Multiple system atrophy (MSA)**

- 110 Multiple system atrophy (MSA) is a rare disorder that affects the functioning of multiple systems
- 111 in the brain. Some of these are involved in the control of movement, balance and coordination,
- 112 while others maintain blood pressure and bladder, bowel and sexual function.
- 113 People with MSA may experience:
- slowness of movement, muscle stiffness and/or shaking/jerky movements
- 115 problems with balance and coordination
- feelings of light-headedness or dizziness while standing
- 117 problems controlling bladder function and constipation.

#### 118 MSA speech characteristics

- Dysarthria is quite common in people with MSA and it tends to emerge earlier than in Parkinson's(within the first two years). It can be the presenting symptom.
- 121 Dysarthria is often mixed. Hypokinetic, ataxic and spastic types are common. Recognition of
- dysarthria types other than hypokinetic can help distinguish MSA from Parkinson's (Rusz et al,2019).
- 124 One of the distinguishing features of MSA is laryngeal stridor in as many as one third of people.
- 125 This is a problem commonly associated with excessive snoring and sleep apnoea. Recognising
- stridor within various combinations of spastic, ataxic and hypokinetic dysarthria is diagnosticallyvaluable.
- 128 Inhalatory stridor is traditionally thought to reflect abductor (posterior cricoarytenoid) laryngeal
- 129 weakness, secondary to involvement of the nucleus ambiguous, hence its frequent recognition as
- 130 a sign of flaccid dysarthria.



- 131 Some study findings have suggested that in MSA, laryngeal dystonia may be the cause of stridor
- 132 (Isono et al, 2001; Merlo et al, 2002). In addition, arytenoid tremor and myoclonic vocal fold
- movement (Gandor, 2020) may be seen in people with MSA as early as diagnosis, which could 133
- 134 serve as a predictor of upper airway obstruction (Ozawa et al, 2010).

#### MSA eating, drinking and swallowing characteristics 135

- 136 There are two seminal studies on video-fluoroscopic swallowing studies (VFSS) data for people
- 137 with MSA (Higo et al, 2003a; Higo et al, 2003b). The most frequent pathological findings were
- 138 delayed oral bolus transport, insufficient movement of the tongue base, impaired oral bolus
- 139 control and a slowed laryngeal elevation. In people with MSA with predominantly cerebellar signs
- delayed oral transfer caused by disturbed tongue coordination was predominant in the initial 140
- stages of the disease. People with MSA can also experience significantly more frequently 141
- impaired oesophageal transport with food stagnation. This can lead to food regurgitation and 142
- suffocation during nocturnal continuous positive airway pressure (CPAP) therapy, as a result of 143
- 144 megaoesophagus (Taniguchi et al, 2015).
- To avoid further dilation of the oesophagus, people with MSA should not lie down immediately 145
- after eating. Before initiating CPAP therapy, it is necessary to investigate whether 146
- 147 megaoesophagus is present because therapy associated with aerophagia may induce
- regurgitation from the dilated oesophagus at night. 148
- 149

#### 1.2.3 Corticobasal syndrome 150

- 151 Corticobasal degeneration (CBD), also known as corticobasal syndrome (CBS), is a rare,
- 152 progressive neurodegenerative disease with a wide variety of symptoms and signs. It was first
- 153 identified in 1968. The disease typically starts between the ages of 60 and 70 and usually affects one side of the body much more than the other.
- 154
- 155 Common symptoms of CBS include:
- 156 slowing of movement and stiffness of the neck, arms and legs • 157 balance and walking problems, which may cause falls muscle twitches and jerks called myoclonus 158 • difficulty performing common arm movements 159 • loss of sensation on one side or trouble identifying things by touch 160 • a feeling that an arm has a mind of its own, sometimes called 'alien limb' 161 difficulties with speech and language, including trouble finding the right words, groping 162 ٠ 163 (signs of apraxia of speech), and occasionally reversing 'yes' and 'no' behavioural changes such as loss of motivation or increased irritability, or personality 164 • 165 changes.
- The cause of CBS is unknown. As with PSP, CBS is associated with tau proteins in the brain. These 166
- 167 are important for normal motor function but people with CBS have an abnormal tau protein
- which appears to damage nerve cells in certain areas. Researchers do not know why this protein 168



- 169 is abnormal in CBS. The disease is not hereditary, and it has not been linked to any
- 170 environmental exposures.
- 171 Diagnosis of CBS is based on medical history and neurological examination. Since CBS signs may
- be similar to other diseases, such as Parkinson's, it can be difficult to diagnose in the early stages.
- 173 Scans such as MRIs are often useful and may rule out other diseases that mimic CBS.

#### 174 CBS speech characteristics

- 175 Communication difficulties are common and sometimes early and prominent in the diagnosis of
- 176 CBS. Mixed dysarthria is the most common, with signs of hypokinetic and spastic. Apraxia of
- 177 speech can be present on its own or in combination with dysarthria.
- 178 People with CBS may experience yes-no reversals in which they complain that they say or
- 179 gesture 'yes' to questions when they mean 'no' and vice versa. Yes-no reversals in the absence of
- 180 significant aphasia can be a useful differential diagnostic sign because they mostly occur in
- 181 people with CBS and PSP (Frattali et al, 2003).
- 182 In CBS, the combination of difficulties and psychiatric problems (such as reduced mental
- 183 flexibility and impaired motor programming) can make communication difficulties more complex
- 184 than in many other conditions.
- 185 **CBS eating, drinking and swallowing characteristics**
- 186 Systematic studies using fibreoptic endoscopic evaluation of swallowing (FEES) related to CBS
- 187 dysphagia are not available. There are two studies using VFES (Frattali and Sonies, 2000; Grunho,
- 188 2015).
- 189 The most frequent pathological finding in the oral phase is fragmented swallowing, due to
- 190 excessive tongue movement and impaired oral transport. 52% of the people with CBS (N=24)
- 191 presented with speech apraxia as well as swallowing difficulties (Grunho 2015).

# Table 1: Summary of the main speech and wating, drinking and swallowing characteristics of atypical Parkinson's based on the limited number of studies available

Atypical Parkinson's	Speech characteristics	Eating, drinking and swallowing (EDS) characteristics
PSP	Early dysarthria with mixed presentation (hypokinetic, spastic) Palilalia; stammer-like speech.	More awareness of EDS difficulties. Delayed swallow reflex.
MSA	Early symptoms with mixed presentation Inhalatory stridor	Delayed bolus transfer Oesophageal dysmotility Tongue incoordination.



	Arytenoid tremor.	
CBS	Apraxia of speech	Fragmented swallow,
	Yes–no reversals.	piecemeal, with tongue
		incoordination.

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## 196 **2. Healthcare management principles**

Healthcare management in PwP follows the principles of managing complex diseases, as these
are set out through the International Classification of Functioning, Disability and Health (ICF
Model). This way of structuring the needs of PwP is best served in an integrated-multidisciplinary
approach that allows the PwP to be at the centre of the healthcare and values the role of the
caregiver.

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#### 203 2.1 The ICF model for Parkinson's

- The International Classification of Functioning, Disability and Health (ICF) classification systemcan be used to describe treatments and outcomes at three levels:
- physiological and psychological functions (body functions) and anatomical parts (body structures)
- execution of a task or action (activities)
- involvement in a lifetime situation (participation).
- 210 The complexities of Parkinson's stem from:
- motor symptoms such as bradykinesia, reduced amplitude of movement, tremor at rest,
   rigidity, pain, gait and balance issues, speech and swallowing difficulties
- non-motor symptoms caused by the disease itself, such as olfactory dysfunction,
   constipation, depression, rapid eye movement (REM) sleep behaviour disorder,
   dysautonomia and some executive dysfunction problems, of the disease itself
  - side effects of pharmacological or surgical treatment
  - variability in the personal, social and community circumstances of PwP.
- 217 218

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### 219 **2.2 Multidisciplinary collaboration – integrated care**

- 220 Specialised care generally refers to high-quality care that is designed and tailored for a specific
- disease or patient population. It is delivered by professionals with a specific interest and
- 222 competence in that area (Sturkenboom et al, 2024). Please see figure 1 'Overview of
- 223 interdisciplinary overlap between specialized Allied Health Professionals for Parkinson's disease'
- for a useful illustration of interdisciplinary involvement (Sturkenboom et al, 2024). Specialised
- health care is essential for PwP as there may be considerable variation in terms of their motor
- and non-motor symptoms, the way they present and how they impact daily life.
- According to Bloem et al (2020) there are three pivots of care for PwP: care should be delivered
- closer to home with remote monitoring; PwP and their carers should be empowered to self-
- 229 manage the disease; care should be proactive and timely with precision medicine/personalised
- 230 medicine.
- 231 Integrated care, based on a coordinated multidisciplinary team approach, is becoming the gold
- standard of care for PwP (Fabbri et al, 2024). Ghilardi et al (2024) have shown that PwP who have



- 233 specialised enhanced rehabilitation in a specialist centre have better outcomes than those
- 234 managed by expert neurologists with community programs for exercise and other allied health 235 interventions. The greatest effects were seen in people in the early stages of the disease who
- 236 committed to a high amount of vigorous exercise per week.
- 237 One possible reason for the significant difference between outpatient therapy and therapy
- 238 delivered from a specialised centre is the opportunity in a specialised centre to communicate
- directly with the neurologist and other allied health professionals to change the course of
- therapy (Ghilardi et al, 2024).
- 241 In summary, long-term benefits to motor function and quality of life in PwP can only be achieved
- through a systematic program of specialised enhanced rehabilitation interventions.
- 243

#### 244 **2.3 Person-centred approach**

- 245 Person-centredness is increasingly recognised as a crucial element for quality of care. It has been
- 246 defined as "providing care that is respectful towards and responsive to individual patient preferences"
- 247 (Institute of Medicine (US), 2001).
- 248 Person-centredness and self-management support are part of the chronic care model (Wagner,
- 249 2010). This model focuses on the collaboration between well informed, active service users and
- 250 prepared, proactive health professionals. (See RCSLT guidance on <u>personalised care.</u>) By
- 251 providing decision support towards a structured, evidence-based, continuum of care this
- 252 guidance aims to further support use of this model.
- 253 A person-centred approach is particularly apposite for communication and eating, drinking and
- swallowing guidance because the interventions need to fit with the needs, motivations and
- abilities of the PwP and their caregivers (Nisenzon et al, 2011). Increased self-control increases
- 256 motivation (Chiviacowsky et al, 2012). Given the complexity of symptoms, it is paramount that the
- 257 PwP is empowered to make their own informed choice about their priorities and the
- 258 interventions they receive.
- 259

## 260 **2.4 Support for self-management: motivation for change**

- Respect for the PwP's autonomy and a focus on self-management are essential when aiming toprovide optimal speech and language therapy.
- 263 Self-management is defined as an individual's ability to cope with symptoms, treatment, physical
- and psychosocial consequences and lifestyle changes associated with a chronic condition (Barlow
- J et al, 2002; Van der Eijk et al, 2013). By supporting the PwP and the caregiver to self-reflect,
- 266 prioritise and apply problem-solving skills related to issues of activity performance and
- 267 participation, self-management can be achieved (Keus et al, 2014).
- 268



### 269 **2.5 Maintenance of speech after intensive rehabilitation**

Maintenance of speech outcomes following speech therapy has been poorly investigated, but
some strategies have been found to help (Finnimore et al, 2022). These include partnerships, selfreflection, maintenance barriers and facilitators, goal setting and revision of LSVT LOUD skills
(LSVT LOUD is an intensive, evidence-based voice therapy programme designed to improve vocal

- 274 loudness and clarity in people with Parkinson's disease).
- 275

#### 276 **2.6 Stage-specific needs**

277 The timing and right dosage of intervention has not yet been investigated for every stage of the

278 journey of PwP. Some studies indicated that early and intensive exercise can be more effective

- than mild or moderate intervention in slowing the progress of the symptoms' severity and
- 280 maintaining employment (Tsukita et al, 2022). However, there are limited studies looking into the
- right timing of intervention and long-term outcomes of early versus late therapy.
- 282 Weise et al (2024) provided a case-study type illustration of the different needs and ways of
- working in a team for PwP to provide timely therapy at each stage, without any long-termoutcomes.
- 285

### 286 **2.7 Support and training for caregivers**

Reduced effectiveness of communication can cause frustration and dissatisfaction in both PwP
and their communication partners (Mosley et al, 2017; Vatter et al, 2020). Communication
challenges can directly impact on relationships and mental wellbeing, potentially contributing to
carer burden and third-party disability.

291 Spouses of PwP who have cognitive impairments and dementia describe higher levels of 292 relationship dissatisfaction, care burden and a reduced number of positive interactions with their 293 partners (Vatter et al, 2019). Family members describe the need for support to address the 294 broader impact of communication difficulties and their own unique needs resulting from the 295 communication difficulties. Therefore, intervention in this area must carefully consider the 296 individual needs and goals of family members or carers related to communication difficulties

297 arising from Parkinson's.

298



# 3. Speech and language therapy for PwP: core areas for speech and language therapy

There are three core areas that speech and language therapy addresses in PwP: communication; eating, drinking and swallowing; and saliva control. In the following sections, we will present the main characteristics and prevalence for each area.

304

#### 305 **3.1 Communication**

- 306 Speech in Parkinson's is affected by common pathological manifestations such as akinesia,
- 307 bradykinesia and hypokinesia, leading to the reduced amplitude and automaticity of speech
- movements (Ho et al, 1999; Duffy, 2019; Bloem et al, 2021). Characterised as hypokinetic
- 309 dysarthria, these speech difficulties represent an early and frequent sign of the disease (Rusz et
- al, 2022). See figure 1 in 'Anatomical substrate of speech components and parkinsonism
- 311 dysarthria characteristics' for a visual representation of this (Pinto et al, 2004)
- 312 The main perceptual characteristics of hypokinetic dysarthria are monopitch, monoloudness,
- 313 reduced stress, short phrases, variable rate, short rushes of speech and imprecise consonants
- 314 (Darley et al, 1969; Rusz et al, 2021). Since speech problems can worsen as the disease
- 315 progresses (Skodda et al, 2013) dysarthria gradually becomes one of the most disabling
- 316 symptoms affecting social interaction and the quality of PwP life (Finnimore et al, 2022).
- 317 Although pharmacological and surgical treatments of motor symptoms might relieve some
- 318 aspects of dysarthria mainly in the early stages (Rusz et al, 2021), they can have a negative impact
- 319 on overall speech intelligibility (Tripoliti et al, 2014).By contrast, behavioural treatments such as
- the LSVT LOUD (Ramig et al 2018) are shown to have a positive outcome on communication.
- 321 Therefore, SLTs could and should play a pivotal role in the management of communication needs
- 322 of PwP throughout the disease process.
- 323

#### 324 **3.1.1 Acquired neurogenic stuttering or palilalia**

- 325 PwP can experience palilalia, also known as acquired neurogenic stuttering (ANS). ANS is the
- 326 preferred term to describe this debilitating speech disorder to differentiate it from
- 327 developmental stammering. ANS is characterised by accumulative rapidity and declining
- 328 loudness as well as repetition of speech (Benke et al, 2000),
- ANS can present as 'festination and/or freezing of speech'. In particular, *festination* of speech is
- described as "rapid, incomplete in range and amplitude movement of the articulators resulting in
- compulsive-like repetition of all or part of a word" (Benke et al, 2000, p. 323). *Freezing* of speech is
- the "complete, albeit temporary, breakdown in movement, both of breathing and speech" (Benke
- 333 et al, 2000, p. 323).



- Both festination and freezing of speech are triggered by speech (task specific) and are attenuated
- by singing or whispering. They can be likened to the freezing and festination of gait, with
- increasingly faster and smaller in amplitude movements (Mekyska et al, 2018).
- The suggested minimum criterion for the diagnosis of a dysfluency disorder is 3% of the syllables stuttered in a reading passage (Conture, 2001). Most studies use spontaneous speech and a
- reading passage and count the number of repetitions, prolongations and blocks (eg Tykalova et
- al, 2015). ANS can be a red flag for PSP diagnosis if it is present early in the disease process
- 341 (Tykalova et al, 2017).
- 342 Understanding the mechanisms of speech disorders and the factors that contribute to them is
- 343 essential for effective assessment and intervention.
- 344

#### 345 **3.1.2 Prevalence of speech difficulties**

- 346 Prevalence of speech difficulties varies in the literature depending on the way they are measured:
- 347 some studies use the Movement Disorders Society Unified Parkinson's Disease Rating Scale
- 348 (MDS-UPDRS), a generic motor scale (Goetz et al, 2019). Part three in the scale has a single item
- for speech, to be rated from zero (no speech problem) to four (no functional speech) (Goetz et al,
- 350 2019).
- 351 Data from a large cohort study of 419 PwP using the MDS-UPDRS-III (Perez-Lloret et al, 2011)
- 352 showed that the presence of dysarthria across the disease spectrum was at 51%, the presence of
- 353 sialorrhea (saliva problems) was at 65% and the presence of dysphagia (eating, drinking and
- 354 swallowing problems) was at 46%. It also showed the link to Quality of Life for each.
- When speech is measured by speech-specific recordings and analysis the prevalence rises to 90%irrespective of the disease stage (Rusz et al, 2021).
- 357 The prevalence of ANS ranges from 20% (Gooch et al, 2023) to 54.3% (Benke et al, 2000; Whitfield
- et al, 2017; Tsuboi et al, 2019) depending on the specificity of speech assessment (questionnaire
- 359 vs specific speech) and the stage of the disease of the participants (prevalence increased as the
- 360 stage of the disease advanced).
- 361

### 362 **3.2 Eating, drinking and swallowing**

- Eating, drinking and swallowing (EDS) difficulties, also known as dysphagia, occur frequently at any stage of the disease process (Pflug et al, 2019). In a large meta-analysis on the prevalence of aspiration pneumonia and hospital mortality Yu Chua et al (2024) showed that the risk of
- aspiration pneumonia was three times higher in PwP than in people without Parkinson's, with an
- 367 average of 10% hospital mortality. They concluded that early detection of aspiration and
- 368 multidisciplinary team management can prevent hospital admissions and reduce mortality.
- 369



#### 370 **3.2.1** Prevalence of eating, drinking and swallowing problems

- Pflug et al (2019) used FEES on 119 consecutive PwP and found that 20% of PwP with diseaseduration of less than two years showed aspiration.
- 373 EDS difficulties need to be managed as early as possible to avoid complications such as chest
- infections (aspiration pneumonia), malnutrition, dehydration, impact on medication managementand quality of life.
- 376

#### 377 **3.3 Saliva control**

- 378 Sialorrhea is defined as the inability to control oral secretions, resulting in excessive saliva
- accumulation in the oropharynx (Cardoso, 2018). Drooling is defined as the involuntary spillage
- of saliva from the mouth from excessive saliva accumulation in the pharynx (Merello, 2008).
- 381 In PwP the cause of drooling is not due to excessive production of saliva (Merello, 2008) but
- rather a reduction in swallowing frequency. Flexed posture or limited mobility adds furtherdifficulties in clearing saliva.
- Sos uninculties in clearing saliva.
- 384 One of the most significant factors contributing to sialorrhea is hypotussia (cough dysfunction)
- 385 (Dallal-York and Troche, 2024), which reduces the ability of PwP to clear secretions from the back
- 386 of the throat. The sensory feedback from saliva itself is not remarkable enough in taste or
- temperature for the cough to be triggered.
- 388

#### 389 3.3.1 Prevalence of sialorrhea

The prevalence of sialorrhea is reported to range from 31% to 86% (Barone et al, 2009; Chou et al, 2007). The discrepancy depends on the method used to assess the accumulation of saliva as well as the characteristics of the population in the studies; it is expected that the prevalence is greater in PwP with dysphagia (Chou et al, 2007). Sialorrhea can be seen in very early stages of the disease when PwP list it as one of the most disabling non-motor findings (Khoo et al, 2013;

- Seppi et al, 2011) due to the social embarrassment and consequent isolation (Miller et al, 2019).
- 396



## **4. History taking and examination**

#### 398 **4.1. History taking**

The objective of history taking is to gain insight into the severity and nature of the concerns of
the PwP and to decide which impairments and activity limitations to target during examination.
The individual's own strategies to overcome difficulties are also recorded.

The therapist aims to assess whether the PwP's expectations are realistic. It is often the case that
PwP are not aware of some specific symptoms and it might be important to involve a caregiver.
(For an example of questionnaires for communication see Kalf et al, 2007a; for questionnaires on
swallowing see Kalf et al, 2007b; for questionnaires on saliva see Kalf et al, 2007c.)

406

#### 407 **4.2 Speech examination: what and how to examine**

There are two main ways to examine speech for PwP, depending on the aim of the assessment:with perceptual tools or with acoustic tools. Ideally, both should be used.

- 410 The most widely used perceptual tools are:
- Movement Disorder Society Unified Parkinson's Disease Rating Scale (MDS-UPDRS)
   (Goetz et al, 2019). This scale contains one question for the PwP and one for the clinician
   to rate their speech on a five-point scale from zero (no speech problems) to four
   (anarthric).
- Voice Handicap Index (Jacobson et al, 1997). This is a self-reporting questionnaire
  containing 10 questions. It gives insight into the impact of voice difficulties but is not
  diagnostic (Jacobson et al, 1997) and as it relies on self-perception it does not have high
  reliability and validity in PwP.
- Sentence intelligibility test (SIT) (Yorkston and Beukelman, 1984). This test is often used to assess how well speech is understood by listeners by means of a % of words understood by a listener. It relies on subjective judgement, so a higher number of listeners may be required to improve reliability.
- Frenchay dysarthria assessment. This is a complex evaluation of the subsystems of
   speech that can only be carried out by an SLT (Enderby, 1980).
- Visual Analog Scale (VAS). The VAS is easy to administer and can be used to evaluate
   various aspects of dysarthria, including its impact on activities and participation (Abur,
   Enos, and Stepp, 2019).
- Radboud Oral Motor Inventory for Parkinson's Disease Speech (ROMP– Speech) (Kalf et al, 2007a). This is a patient-reported outcome measure that assesses the impact of speech difficulties in PwP across several domains, including speech clarity, effort, and communication effectiveness.
- Mayo Clinic Dysarthria assessment. The Mayo Clinic dysarthria rating scale was first used
   by Darley et al (1975) and more recently by Tripoliti et al (2014) and Plowman-Prine et al



- 434 (2009). It is the most used scale to perceptually rank different aspects of motor speech
  435 disorder such as respiration, prosody, voice, articulation, rate and nasality.
  436 The choice of perceptual test will depend on the time available, the priorities and
- 437 communication needs of the PwP and the hypotheses formed by the clinician on the
   438 nature and course of the treatment.
- 439

## 440 4.3 Benefits of audio and video recording

Acoustic analyses can provide objective markers on different components of speech impairment
 corresponding with these perceptual characteristics (Rusz et al, 2021). There is no consensus
 about the ideal acoustic outcome measures used for the evaluation of speech disorders.

However, recording of three simple types of vocal tasks, including connected speech (such as reading, monologue and retelling), sustained vowel and fast syllable repetition, can give us

representative speech material to obtain a complete profile of motor speech disorder in PwP
(Patel et al, 2018; Rusz et al, 2024; Rusz et al, 2021).

- The most used tasks for the acoustic evaluation of speech in PwP are:
- sustained vowel shows the presence of inappropriate voice function
- 450 alternating and sequential motion rates measures the motor abilities of the
   451 speech articulators and reveals their movement limitations
- 452 monologue and reading passage reflects a combination of speech-motor execution and cognitive-linguistic processing.
- 454 The complete recording protocol for the acoustic evaluation, included the recommended
- 455 positioning of the recording device, can be found in the guidelines paper (Rusz et al, 2021).
- 456

#### 457 **4.3.1 Remote speech assessment**

Speech recording and subsequent analysis (through perceptual or acoustic means) can also be
performed through a smartphone device, not just with state-of-the-art speech recording
equipment.

461 The smartphone-based approach can offer frequent, objective, real-world assessments with 462 enormous amounts of data in a short time frame, leading to better sensitivity and stability of 463 speech assessment compared to a single, time-limited laboratory evaluation. Thus, monitoring 464 via smartphone could be extremely valuable in assessing treatment and disease-modifying 465 effects in clinical trials (Lipsmeier et al, 2018).

- 466 Pilot cross-sectional studies showed that smartphone-based voice assessment in combination
- 467 with machine learning techniques might facilitate screening for prodromal neurodegeneration,
- 468 monitoring daily fluctuations of response to medication and quantifying disease severity (Arora



- 469 et al, 2018; Zhan et al, 2018; Kothare et al, 2022; Omberg et al, 2022). Monitoring PwP through
- smartphone applications may also capture the way they speak outside of the artificial laboratory
- 471 or clinic setting, where other factors such as environmental noise, dual-tasking, social
- 472 interactions, or emotional influence have important roles and thus provide a natural biomarker
- 473 of Parkinson's progression (Illner et al, 2024).
- 474 The benefits of access and generalisability are counterbalanced by the many challenges in the
- 475 privacy issues and validation of real-world data. These are obtained without an investigator
- 476 guiding a recording protocol or labelling specific speech paradigms. The quality of smartphone
- 477 microphones is typically much lower than that of a professional condenser microphone used in
- 478 research practice and differs from device to device (Rusz et al, 2018).
- 479 Variation in the direction of the microphone and distance from the lips (due to the way the phone
- is held in each case) combined with background noise mean it is challenging to assess speech in
- 481 everyday environments.
- 482 These issues warrant further investment in technical and clinical innovation before smartphones
- 483 can be routinely deployed in monitoring communication.
- 484

## 485 **4.4 Swallow examination**

- 486 When assessing swallowing it is important to bear in mind how slower mealtimes or difficulty
- 487 with eating independently (eg holding a cup or cutlery) can affect the enjoyment and
- 488 effectiveness of mealtimes and so affect quality of life.
- 489 Only a minority of people with Parkinson's dysphagia are aware of, or report, swallowing
- 490 difficulties when they are present. Swallowing difficulties may be present before Parkinson's is491 diagnosed but go unnoticed.
- 492 Therefore, it is important to detect swallowing problems early to prevent issues with swallowing493 medication, dehydration, silent aspiration, chest infections and malnutrition.
- Instrumental methods (such as FEES and VFSS) are the most valid and reliable methods of
   detecting risk of aspiration and penetration in PwP (Pflug et al, 2019; Simons et al, 2014; Dziewas
- 496 et al, 2021).
  - 497 According to Dziewas et al (2021), once disease-specific risk factors and disease-specific
    498 questionnaires are positive, then FEES should be performed. The risk factors found to be the
    499 strongest predictors of swallowing difficulties in PwP are:
  - delayed mastication (chewing)
  - reduced lingual motility before bolus transfer
  - overt signs of aspiration (coughing, throat clearing, shortness of breath)
  - increased total swallow time (Nienstedt et al, 2018).
  - 504 Some useful tools for early detection of swallowing problems are:



- swallowing disturbance questionnaire (SDQ) considered a validated tool to detect early
   dysphagia in PwP; has good sensitivity (80.5%) and specificity (81.3) (Cohen and Manor,
   2011)
- swallowing clinical assessment score in Parkinson's disease (SCAS-PD) has high
   sensitivity (100%) and specificity (87.5%); detects clinical signs of aspiration with good
   agreement with VFSS (Branco et al, 2019)
- handheld cough testing (HCT) a novel tool for cough assessment and dysphagia
   screening in Parkinson's; identifies differences in cough airflow during reflex and
   voluntary cough tasks and screens for dysphagia in Parkinson's with high sensitivity
   (90.9%) and specificity (80.0%) (Curtis and Troche, 2020).
- 515 A key issue when relying only on swallowing questionnaires is the validity and reliability of several
- 516 existing clinical tools to detect Parkinson's-related EDS difficulties. For example, some non-
- 517 Parkinson-specific swallowing questionnaires were found to detect swallowing problems only in
- 518 12-27% of PwP, with less than 10% of PwP reporting spontaneously about dysphagia (Pflug et al,
- 519 2019; Buhman et al, 2019; Nienstedt et al, 2019).
- 520 Clinical bedside predictors of aspiration used for stroke, like the 'normal' water swallow test, have
- 521 been shown to be unreliable in Parkinson's. Maximum swallowing volume or the maximum
- 522 swallowing speed were not a suitable screening instrument to predict aspiration in PwP
- 523 (Burshman et al, 2018).
- 524 Increased drooling (sialorrhea) was also deemed a sign of penetration or aspiration until
- 525 Nienstedt and colleagues (2019; Simons et al, 2014; Pflug et al, 2019) found that drooling cannot
- 526 be considered an early sign of dysphagia.
- Early detection of swallowing problems using Parkinson-specific questionnaires and instrumentalmethods (FEES or VFS) is highly recommended
- 529

## 530 4.4.1 Dystussia (impaired cough ability)

- Another area of clinical and research significance is the impaired cough ability, due to lack of
  sensorimotor feedback (Curtis et al, 2024). Protecting the airway is crucial. The lack of sensory
  feedback from saliva and mucus that accumulates in the laryngeal vestibule should be addressed
  and assessed not least because of the availability of effective treatments.
- 535 The rehabilitation of cough strength and effectiveness has been investigated recently using
- 536 instrumental methods with good impact on reduction of aspiration/penetration risk (Troche et al,
- 537 2022).
- Assessment of cough strength and effectiveness using a peak flow meter and understanding thelevel of sensorimotor feedback is highly recommended.
- 540

#### 541 **4.4.2 Medication and pill swallowing**



- 542 Swallowing of medication is crucial for PwP but it can be affected by early and subtle EDS543 difficulties.
- 544 Repetitive tongue movements (such as 'tongue pumping') keep pills in the mouth for longer,
- 545 which leads to a risk of them dissolving before they are swallowed. This risk is further increased
- 546 by impaired tongue base retraction and pharyngeal residue predominantly in the valleculae.
- 547 Xerostomia (dry mouth) also increases oral retention time and pills can become stuck unnoticed
- 548 in the pharynx or remain in the mouth (Warnecke et al, 2018).
- 549 Caregivers of PwP often modify oral medications by crushing or splitting tablets or opening the550 capsules. These practices can make it easier to administer the medication but are associated with
- an increased risk of medication administration errors (Patel, 2015).
- 552 Buhmann and colleagues (2019) studied which pills were easier to swallow using FEES. They
- 553 found that almost half of the people with a substantially impaired ability to swallow a pill had no
- relevant problems with swallowing water. They concluded that testing pill swallowing, preferably
- using FEES, should be part of every swallow assessment.
- 556 Clearly there are more studies to be done on the type of pills that are easier to swallow, the links
- 557 between pill-swallowing and response to medication and ways to deal with swallowing multiple 558 pills.
- 559 Another issue reported recently is the effect of thickener on the pill bioavailability, i.e. the
- therapeutic properties of the pills (Patel, 2015). More studies need to be done specifically on
- 561 parkinsonian medications (Atkin et al, 2024) in collaboration with the pharmacists.
- Assessing the manner and safety of swallowing medication should be performed through direct
   questions, clinical observation and instrumental means. The link between timely intake of tablets
   and ability of swallow is particularly relevant to inpatient and outpatient care and it should be
- 565 carefully considered.
- 566

#### 567 **4.4.3 Artificial nutrition and hydration**

- 568 Percutaneous endoscopic gastrostomy (PEG) is a means of artificial enteral nutrition that can be 569 considered when nutritional intake is expected to be qualitatively or quantitively inadequate for a 570 period exceeding two to three weeks. The effort for eating and the time taken to feed during the
- 571 day may be considerable, with each mouthful taking 12-15 times longer to chew and swallow.
- 572 However, it is paramount to consider the clinical context, diagnosis, prognosis, ethical issues,
- 573 anticipated effect on quality of life and the person's wishes before proceeding (Sarkar et al, 2016).
- 574 Another issue is the post-insertion morbidity and mortality, which according to Sarkar (2016) can
- 575 reach 88% of people.
- 576 Kobylecki et al (2024) analysed a cohort of people with atypical Parkinson's and they concluded
- 577 that gastrostomy was performed relatively infrequently in this population.
- 578 To avoid such a high rate of complications teamwork between neurologist,
- 579 gastroenterologist/nutritional team and nutritional and swallowing assessment before and after



580 PEG-insertion is highly recommended. The communication between in-patient and out-patient 581 care and instructions is crucial (See also: Löser et al, 2005).

582

#### 583 **4.4.4 Assessing sialorrhea**

584 Quantification of saliva secretions is difficult, because many factors such as eating, talking and 585 stress as well as medication intake, can influence the production of saliva. Various methods have 586 been used, including salivary glands scintigraphy, cannulation, open suction, spit collection and 587 placing rolls of cotton in the mouth (Merello, 2008).

- 588 Sialorrhea-related discomfort or disability can be measured using specific scales, such as the
- 589 drooling severity scale, the drooling frequency scale and the drooling score (see ROMP-saliva,
- 590 Kalf et al, 2007c) as well as the single item six on the activities of daily living section of the UPDRS.
- 591 Direct observation of how PwP deal with secretions is necessary due to the impact on
- 592 communication, swallowing and social interaction.



## 593 **5. Communication interventions**

594 Evidence around the use of different interventions to support PwP who have communication 595 difficulties is varied. This table provides a summary of randomised controlled trials for various 596 interventions which have been assessed using the GRADE method. This table is not exhaustive 597 and is correct as of date of publication.

598

#### 599 **5.1 LSVT LOUD**

The only behavioural treatment with strong evidence in favour of its effectiveness in managing
dysarthria is Lee Silverman Voice Treatment (LSVT LOUD) (Ramig et al, 2018; Perry et al, 2024).

602 LSVT LOUD is supported by 40 studies, which tested the treatment using a wide variety of

- 603 acoustic, perceptual, brain imaging, quality of life, stroboscopic, respiratory/kinematic and
- 604 laryngeal/aerodynamic outcomes. Over 800 PwP and controls were examined.
- There are three randomised controlled trials comparing LSVT LOUD to other intensive target therapies, and one comparing the 'standard NHS treatment'. Four studies examined the effects of
- 607 LSVT LOUD in group therapy, with the remainder focusing on individual treatment. Most were
- 608 delivered face-to-face, with five studies examining LSVT LOUD through telehealth. Patient
- 609 reported outcomes were analysed in 18 studies. In terms of intelligibility there were mixed
- 610 results: eight studies reported improvement and two studies (Tripoliti et al, 2011; Theodoros et
- al, 2006) did not, depending on how intelligibility was measured (requests for repetition, ease of
- 612 understanding or accuracy of listeners' transcription of participants' speech).
- 613 There are several studies evaluating the effectiveness of treatments based on loudness to a

614 lesser or greater extent: Speak OUT! and the LOUD Croud (Behram et al, 2020; Boutsen et al,

615 2018) target vocal function by prompting PwP to speak with intent, similar to LSVT LOUD in terms

- of hierarchical vocal tasks and intensity. PwP are also encouraged to participate in a 'LOUD
- 617 CROUD' for maintenance.
- Based on this evidence, LSVT LOUD is highly recommended for treatment of communication
- 619 problems in PwP.

620

#### 621 **5.2 Singing**

- 622 Music has been investigated as a treatment modality and found to be beneficial for vocal
- 623 loudness and for swallowing difficulties in PWP (Tamplin et al, 2019, 2020). These two RCTs
- 624 showed significant improvement in vocal loudness for weekly singers and monthly singers
- 625 compared to controls over 12 months. Singing participants also showed greater improvements in
- 626 voice-related QoL and anxiety. It has also been shown to help with depression in caregivers
- 627 (Tamplin et al, 2020). The same intervention did not reach significance when delivered online.



- Based on this evidence, the use of singing groups is highly recommended for treatment ofcommunication problems in PwP.
- 630

#### 631 **5.3 Biofeedback**

- Biofeedback (mainly through portable devices) has been investigated in three studies, involving in
- total 38 people. Two studies involved wearing a biofeedback device outside of the clinic with no
- 634 in-person sessions.



635

#### Figure 1. Optimal positioning of biofeedback device. (Van Stan et al, 2014)

637

Two studies examined participants' experiences with wearable biofeedback devices. Most found them easy to wear and reported that they served as a reminder to use a louder voice and to speak more often (Schalling et al, 2013). Some mentioned that the device felt uncomfortable and drew attention. The most common complaints were positioning the device, cord and microphone and planning outfits and hair that could accommodate the device.

- Based on this limited evidence the use of biofeedback devices is recommended at anexperimental level mainly as a "cue" to increase vocal loudness.
- 645

## 5.4 Masking noise/*SpeechVive/*delayed auditory feedback

- The effects of masking noise/SpeechVive on speech have been investigated in five studies
  including 100 participants. Four of the studies involved measuring the immediate effects of
  masking noise or multitalker babble and one study involved wearing a SpeechVive device over
  eight weeks.
- Quedas et al (2007) measured the voice outcomes related to the Lombart effect while white noise
  was presented at 40, 70, 90 dB SPL. Results showed that as the masking intensity increased vocal
  intensity and frequency also increased.
- 654 Coutinho et al (2009) examined the immediate effects of four listening conditions (habitual,
- 655 delayed feedback (DAF), amplified feedback and masking noise) on auditory-perceptual voice



- features. Delayed feedback resulted in significantly worse vocal quality, pitch, strain, rate,articulation and loudness, in contrast to masking noise.
- 658 Brendel et al (2004) investigated the effects of DAF and frequency-shifted feedback (FSF) on
- 659 speech outcomes in 16 PwP. Intelligibility ratings were significantly lower in spite of the slower 660 articulation rate.
- 661 Richardson et al (2014) measured the effects of *SpeechVive* device over eight weeks. Speech 662 intelligibility significantly increased from 93% to 98%.
- 663 Based on this limited evidence, the use of SpeechVive/Delayed Auditory Feedback/Masking noise 664 is recommended at an experimental level to increase vocal loudness.
- 665

#### 666 **5.5 Group interventions**

- 667 Diaféria et al (2017) investigated the effects of group dynamics/coaching strategies on voice and
- 668 communication outcomes in PwP. The goal of the treatment was to promote self-awareness and
- 669 self-development, improve self-esteem and share coping strategies. Results showed significant
- 670 improvements in participants' self-evaluation of their voice following four weeks of the
- 671 experimental therapy, compared to "traditional" speech therapy.
- HI-Communication (Steurer et al, 2024) is a group-delivered treatment, of intensive and high
- effort, voice therapy, based on the principles of LSVT-LOUD, 3 sessions per week over 10 weeks.
- 674 It showed positive results in its first randomised controlled trial but there are still further studies
- to conduct to refine the dosage and the type of intervention.
- Based on this limited evidence the use of groups for therapy is recommended at an experimentallevel to increase social participation and vocal loudness.
- 678

#### 679 **5.6 Technology for treatment**

- A range of mobile phone applications ("Apps") have been developed (Linares-Del Rey, Vela-Desojo
  & Cano-de la Cuerda, 2019) to aid oral communication.
- 682 Many of these are useful, such as the text-to-speech conversion; others provide speech683 rehabilitation support.
- 684 However, the poor quality of the methodology of the studies involved in their validation (Linares-
- 685 Del Rey, Vela-Desojo & Cano-de la Cuerda, 2019) prevents us from recommending generalised
- use of these apps. The potential benefits and risks associated with mobile healthcare give rise to
- a need for official regulation and for further research in the field. This would provide both
- healthcare professionals and PwP with safe reliable tools for the care and management of their
- 689 symptoms.
- 690



#### 691 **5.7 Communication partner training**

- Research recognises the need for interventions that involve both people living with Parkinson's
  and their communication partners to improve communication (Thilakaratne, Loftus and Cocks,
  2022).
- 695 Communication partner training can enhance functional communication and participation for
- 696 people with communication difficulties (Simmons-Mackie, Raymer and Cherney, 2016) and
- 697 improve the knowledge, communication skills and attitudes of communication partners.
- 698 However, evidence is currently limited for people living with Parkinson's. Whilst there is emerging
- evidence in this area (Clay et al, 2023), effectiveness remains to be proven.
- 700 The wider impact of this type of intervention in combatting the stigma from Parkinson's and its
- 701 communication difficulties can also be further investigated.
- 702

### 703 **5.8 Acquired neurogenic stuttering (ANS)**

- Due to the lack of evidence (mainly case studies) management of ANS needs to be individualised.
  Delayed auditory feedback (DAF) has been used in person with PSP (Hanson and Metter, 1980) to
- reduce speech rate, but it needs to be studied in larger populations to identify who can benefit.
- 707 External cueing (visual and auditory) (Park et al, 2014) has had a significant effect on both gait
- festination and speech. Pacing board (Helm, 1979) has been used with the same principle in a
   case study.
- 710 Rhythmic auditory stimulation has been used in PwP (Rosch et al, 2022) with intriguing results in
- both speech rate and cadence and velocity of gait: they found that speech/music work had a
- beneficial effect on gait and dancing had a beneficial effect on speech. These results need to be
- replicated in further studies. Rhythm in speech work can be enhanced through reading poetry.
- 714 Music and singing have been used anecdotally.
- 715 Motor learning (over-practice of words/sentences) (Whitfield and Goberman, 2017) has been
- visual results of the second s
- 717 with PwP but not with ANS (Toyomura, Fujii and Kuriki, 2015).
- 718 LSVT LOUD (Ramig et al, 2018), an intensive speech treatment targeting loudness, can be
- beneficial in cases of initiation problems due to reduced amplitude or it may not, in cases of
- 720 severe ANS or other concomitant symptoms, such as dyskinesia or orofacial dystonia. There are
- 721 no published cases of LSVT LOUD applied for ANS.
- 722 Due to the limited evidence, clinicians are encouraged to work based on the pathophysiology of
- stuttering and within a team to evaluate which strategies are beneficial. The high levels of anxiety
- of the PwP surrounding communication should be considered.
- 725
- 726 **5.9 PSP**



- There are two issues that challenge the application of known speech treatments, such as LSVT
  LOUD, to people with PSP: the complexity of the vocal neuropathology and the variability of
  speech presentation.
- Speech impairment can be present in all people with PSP and much earlier on in the disease
  diagnosis. It is characterised by a harsh and strained voice quality and often palilalia on top of the
  monotonicity of the parkinsonian speech. Some 'echolalia' (for example, repetition of whole
- 733 phrases) can also be present.
- The largest study on speech treatment for people with PSP is by Sale (2015), who offered LSVT
  LOUD to 16 people with PSP and compared the effects to 23 PwP. They found significant increase
  in vocal loudness for all tasks, but they do not report on speech intelligibility.
- 737 One of the oldest case studies on treating the fast rate of speech and the lower vocal intensity in
- a person with PSP is by Metter and Hanson (1979). They used a delayed auditory feedback (DAF)
- device in order to slow the rate of speech and increase the loudness. It is one of the earliest
- studies to use a speech intelligibility rating to measure outcome. The speech rate of the person
- 741 was at 282 words per minute (wpm), an "extraordinarily fast" rate of speech (compared to the
- normative 160wpm), that was reduced to 124wpm with the DAF prosthesis.
- 743 Speech Vive is a recent development of the same principle of feedback.
- 744 The variability of speech characteristics in people with PSP requires an equally diverse approach
- to treatment, with dynamic monitoring and prevention of total loss of voice or even ability to
- communicate by introducing alternative modes or voice banking as early as possible. There is an
- range real for further studies on overall management of the specific communication needs of
- 748 people with PSP.
- 749

#### 750 **5.10 MSA**

- 751 Skrabal et al (2020) investigated the effect of a 'clear speech' (which they define as
- 752 'hyperarticulation') approach on three patient groups: 17 PwP, 17 with PSP and 17 with MSA. They
- found that during clear speech instructions PwP were able to improve loudness and pitch
- variability whereas people with PSP and MSA were able to modify only their articulation rate. That
- 755 could be due to the ability of PwP to improve when cued externally, or the improvement in voice 756 from donaminorgic therapy
- 756 from dopaminergic therapy.
- 757 Yuma Sonoda (2021) investigated the impact of intensive speech therapy as part of inpatient
- rehabilitation on people with MSA-cerebellar. They worked five days per week, over four weeks
- and involved articulation training, pitch and prosody work and 'targeted explosive or scanning'
- 760 speech'. They used the Voice Handicap Index (VHI-10) to measure the impact of the 18 sessions
- and found significant improvement in the stress from conversation due to improvement in
- 762 speech.



- There is a pressing need for further studies on the management of communication difficulties in
- people with MSA, respecting the variability of their symptoms, the need to maintain
- communication ability and the creative use of technology.

766



## 767 **6. Swallowing interventions**

- Evidence around the use of different interventions to support PwP who have EDS difficulties is
  varied. This table provides a summary of randomised controlled trials for various interventions
  which have been assessed using the GRADE method. The table is not exhaustive and is correct as
- 771 of date of publication.
- A study of the validity of compensatory strategies (Logemann et al, 2008) found that the
- thickness of the bolus is more effective than postural adjustments ('chin down' manoeuvre) in
- preventing the incidence of aspiration in the largest sample to date of PwP (N=711). The study
- triggered a debate on the use of therapy strategies to reduce aspiration in PwP (Coyle et al,2009).
- The consensus in the recent literature seems to support an individualised approach to themanagement of EDS difficulties for PwP.
- 779

#### 780 6.1 Electrical stimulation therapy

- 781 Electrical stimulation therapy has shown no significant different in FEES and VF dysphagia
- severity ratings when compared to conventional dysphagia therapy (Logemann et al, 2008;Baijens, 2013).
- 784 Electrical stimulation therapy is not recommended for swallowing therapy in PwP.
- 785

#### 786 6.2 Biofeedback

- Video-assisted swallowing therapy (VAST) compared to conventional therapy showed a significant
  improvement in swallowing function from both interventions, with pharyngeal residue
  significantly better in the VAST group (Manor et al, 2013).
- Providing feedback on individual swallowing function from VFS examination is highlyrecommended.
- 792

### 793 **6.3 Expiratory muscle strength training**

Expiratory muscle strength training (EMST) is thought to increase the hyolaryngeal movement
that protects the airway from liquid or food penetration or aspiration. The EMST device is a
calibrated, spring-loaded valve which mechanically overloads the expiratory and submental
muscles. The PwP blows into the device with increasing resistance over time. It can improve
submental muscle contraction, which helps to elevate the hyolaryngeal complex during
swallowing and strengthen the protective cough.



- 800 When compared to a sham device EMST significantly improved PwP's penetration-aspiration (PA) 801 score (Troche et al, 2010).
- 802 Another study by the same group examined the effect of sensorimotor training for airway

803 protection (SmTAP) using capsaicin and online visual feedback of peak flow to improve cough

strength. When compared to EMST, SmTAP was found to be significantly more effective (Trocheet al, 2022.)

- 806 Expiratory Muscle Strength Training and sensorimotor training for airway protection are highly
- 807 recommended treatments for cough strength training in PwP.
- 808



## **7. Saliva interventions**

Despite the frequency and related disability there are few proven effective treatments for
sialorrhea in PwP. Pharmacological treatments aim to reduce the flow of saliva by blocking the
cholinergic transmission that underlies the secretion of saliva. Behavioural treatments aim
retrain the frequency of swallow (McNaney et al, 2019; South et al, 2010) and the effectiveness of
cough (Troche et al, 2022).

815

#### 816 **7.1 Pharmacological treatment**

- 817 The 2019 Movement Disorder Society evidence-based review on therapies for non-motor
- 818 symptoms recommended botulinum toxin (BonT) as clinically useful and glycopyrrolate as
- 819 possibly useful for the treatment of sialorrhea in PwP (Seppi et al, 2019). However, BonT has
- some drawbacks; the most common side effect was dry mouth and dysphagia (Cardoso, 2018). It
- is also an invasive procedure and requires re-administration every few months by well-trained
- 822 physicians with access to specialised monitoring techniques.
- 823 Mestre et al (2020) conducted a double-blinded placebo-controlled parallel-phase II study in PwP.
- The primary outcome was sialorrhea-related disability (Radboud Oral Motor Inventory for
- Parkinson's Disease –Saliva) (Kalf et al, 2007c). Glycopyrrolate does not cross the blood-brain
- barrier and thus has less risk of neuropsychiatric effects. The study had a limited number of
- patients (N=22 completing the study, no information on recruitment), with a significant effect on
- 828 ROMP-Saliva score for the treatment group at 12 weeks. Adverse effects included dry mouth and
- 829 constipation.
- 830 Due to the above complications clinicians are advised to work in a Team in order to facilitate
- 831 pharmacological treatments and minimise complications.
- 832

#### 833 **7.2 Behavioural treatments**

In spite of the very debilitating impact of drooling on quality of life, there are very few studies
looking at behavioural treatments. Most studies applied treatments from stroke or cerebral palsy
focused on reducing the flow of saliva as opposed to increasing the frequency of swallowing.

- 837 Oromotor therapy is the most useful nonsurgical option for people with sialorrhea but most of
- the evidence on the effectiveness of oromotor strategies (i.e. techniques that improve functional
- 839 response, movement range and the strength of the articulators) has been studied in the
- 840 paediatric population and not systematically studied in PwP (Limbrock, Fischer-Brandies & Avalle,
- 841 1991).
- Two studies have been conducted to investigate compliance with motor or tactile cues for PwP:
- 843 Marks et al, (2001) used a sound-emitting brooch and McNaney et al (2019) used awristwatch ) to



- cue PwP to consciously swallow. Participants in both studies reported improvement in severityand frequency of sialorrhea and good acceptance of the cueing device.
- 846 More studies targeting cueing for saliva should be implemented.
- 847 In a similar study South, Somers and Jog (2010) used chewing gum for cueing of saliva swallowing
- in 20 patients. They measured the changes in frequency and latency of saliva swallowing as
- 849 measured physiologically. They found a strong link between spontaneous saliva swallowing and
- 850 chewing gum, and they suggested that more research should concentrate on cost-effective and
- 851 self-managed approaches, without the inherent side effects of reducing salivary flow from
- 852 pharmacological and neurosurgical approaches.
- 853 In summary, in spite the very debilitating impact of drooling on quality of life, there is very few
- 854 studies looking at behavioural treatments. Most studies applied treatments from stroke or
- 855 Cerebral palsy focused on reducing the flow of saliva as opposed to increasing the frequency of
- 856 swallowing.
- A logical next study would be on the effects of EMST training on the frequency and latency of saliva swallowing.
- 859 Cueing strategies (using auditory cues, or chewing gum) to increase spontaneous swallowing of
- saliva is recommended for the management of sialorrhea in PwP.
- 861



## 862 8. Further considerations

#### 863 8.1 When to refer on

- There are some signs at every stage of the journey that can act as a 'red flag'. These are symptoms that either need to be investigated further or need a team to work together from many different expert angles.
- The information below explains when to seek further advice, either to advance a diagnostichypothesis, or to improve a therapeutic approach.
- The availability of a team to support both the PwP and their treatment is something that can andshould be fostered by every therapist.

#### 871 Soon after diagnosis (<2yrs)

- 872 Within two years of diagnosis, red flags for communication are:
- onset of palilalia (ANS) with severe blocks
- oral or verbal apraxia
- harsh voice quality
- severely imprecise articulation
- inability to read bottom half of the page
- difficulty with word finding
- inhalatory stridor.
- 880 Red flags for swallowing at this stage are:
- tongue incoordination,
- oesophageal dysmotility.
- 883 At this stage refer on to an ENT or neurologist.

#### 884 Middle stages

885 During the middle stages of the journey, the red flag for communication is withdrawal from 886 social, family or work circles.

- At this stage, refer on to singing groups, support groups, caregiver support groups, aphysiotherapist, an occupational therapist or a dietitian.
- 889 Red flags for swallowing are:
- avoiding certain consistencies
- tablets not being swallowed properly.
- 892 At this stage refer on to an ENT for further diagnostic swallowing tests and ask for further
- 893 Neurological review.

#### 894 Late stages



- 895 During the late stages of the journey, the red flag for communication is an inability to
- communicate and the red flag for swallowing is an inability to swallow.
- At this stage, refer on to an AAC specialist, specialist nurse, physiotherapist, occupational
  therapist, dietician, psychologist or music and art therapist.
- 899 PwP in the late stages should always be managed by a team of experts.

900

#### 901 8.2 Tools to monitor change

- 902 A tool, whether subjective or objective, used for PwP needs to be:
- Specifically designed for PwP: quite often tools that are reliable and valid for people with
   other neurogenic diseases do not show the sensitivity and specificity required for PwP
   (Warnecke et al, 2022).
- Focused on maintaining employment and social/family roles, which is the primary aim of intervention. Most of the studies focus on acoustic or other numerical aspects of communication with only one measure (VHI) for activity/participation. There is a lack of studies focusing on the right time of the disease to provide therapy, in order to have maximum impact on activity and participation aspects for PwP.
- Reliable and flexible to be applied longitudinally in studies and clinical practice for the
   long-term effects of early interventions on maintaining function.
- 913 Sensitive enough to provide information on different pathophysiology and disease
   914 phenotyping for both communication and swallowing function.
- Short to allow for other measures or fatigue or cognitive/attention issues.
- Economical for clinicians and researchers to be able to afford it and apply in clinical and research practice.
- 918 It is recommended to use a validated and appropriate method to measure change of any 919 intervention and not to rely solely on subjective self-reporting.
- 920

## 921 **8.3 Side effects of pharmacological and surgical treatments**

922 The effect of dopaminergic treatment for speech motor control is not as impressive as it is for the 923 alleviation of gross motor symptoms, such as rigidity, tremor and bradykinesia. Inconclusive

findings in the literature led to a common belief that speech is a 'levodopa-resistant' axial motor

- 924 Indings in the interature leu to a common belief that speech is a levolopa-resistant axial mo
- 925 symptom of Parkinson's. Such inconsistency might be caused by the methodological
- 926 shortcomings of short-term/cross-sectional study designs and/or the inclusion of PwP at various
- stages of the disease process, with variable response to pharmacological therapy and so on.
- 928 However, in de novo PwP (i.e. patients who haven't started medication to treat parkinsonian
- 929 symptoms) speech impairment has a favourable response to the initiation of long-term
- 930 dopaminergic therapy (Rusz et la, 2021). The beneficial effect of levodopa is particularly notable
- 931 for dysphonia, at these early stages.



As Parkinson's progresses, the beneficial effect of dopamine decreases, possibly due to nondopaminergic lesions involved in speech production. Dyskinesias can also contribute to speech
deterioration.

935 Deep brain stimulation (DBS) in the subthalamic nucleus (STN-DBS) can have a variable effect on 936 speech, mostly negative in the long term. Short-term improvement in non-speech oral 937 movements is possible, however the overall speech intelligibility is not improved following five 938 years of STN-DBS (Tripoliti et al, 2014). This discrepancy between improvement in simple motor 939 tasks and deterioration in connected speech has been attributed to surgical factors (such as 940 electrode location, amplitude and frequency of stimulation) as well as longer disease duration 941 (Tripoliti et al, 2014), a finding reflecting the complexity of speech oromotor control. New means for reprogramming stimulation are emerging as well as focused ultrasound technology. However, 942 no study has yet performed detailed analysis on potential adverse effects of such therapies on 943 944 speech.

- 945 It is recommended that clinicians enquire after and consider the variable effects of
- 946 pharmacological and surgical treatments on communication and swallowing problems of PwP,947 especially in the late stages of the disease.
- 948

# 949 **8.4** Communication with PwP, caregivers and care settings (linking

#### 950 **in- and outpatient care)**

- 951 Continuation of care is paramount in management of Parkinson's. Treatment plans tend to focus
  952 on the symptoms rather than the individual living with them and communication about medical
  953 management tends to rely on the availability of caregivers to link advice from inpatient to
  954 outpatient settings and vice versa.
- Bloem et al, 2020 proposed an integrative model of care with a person-centred approach and
  delivery of specialised care as close to home as possible, through remote monitoring of
  symptoms. At the centre of the model is 'patient empowerment' through information, lifestyle
- advice and caring for the individual's entire environment and the immediate carers.
- 959 Connecting the layers of health care through a network across disciplines and health care960 settings could deliver a proactive model of care and reduce hospital admissions.
- 961 It is recommended that the SLT actively encourages the communication between health care962 settings and between caregivers and PwP.
- 963

# 8.5 Facial bradykinesia/hypomimia and the impact on communication

- 966 Even though hypomimia is a hallmark of Parkinson's and it has a direct impact on
- 967 communication, studies on assessing and treating it are lacking. It has been estimated that up to



- 968 92% of all PwP develop hypomimia, which can be one of the earliest motor manifestations. The
- 969 loss of facial expressivity is an understudied topic due to the lack of tools for its more precise
- 970 evaluation. Novotny et al (2022) published a novel, automated objective approach to assessing
- 971 facial expression, analysing facial expression via freely spoken monologue, which represents the
- 972 most natural and available source of facial movements.
- 973 In spite of the limited data hypomimia and its impact on communication and social interaction
- should be considered and discussed with the PwP if it is raised and deemed clinically
- 975 appropriate.
- 976

## 977 **8.6 Evidence for telehealth in therapy**

- 978 Over the last decade there has been an increase in the use of telehealth with PwP.
- 979 Communication and ED&S interventions are ideally suited to telerehabilitation. The ease of
- 980 access, the immediate generalisation into a person's home environment and the lack of travel
- 981 stress and fatigue and expense are some of the main advantages.
- However, the issue of measuring effectiveness and giving feedback for both communication andEDS treatments online has been reported in the literature.
- 984 Sevitz et al (2021) suggested some ways of evaluating both speech and swallowing when sessions 985 are mainly online and ways to use online recordings to monitor change.
- 986 Telehealth is recommended in specific well-defined treatments such as the LSVT LOUD and
- 987 EMST, but the clinician should always be aware of measuring outcomes in a valid and reliable
- 988 way.



## 989 **9. Contributors and development of the guidance**

#### 990 9.1 Contributors

- 991 To be added post consultation
- 992 Lead author
- 993 Working group
- 994 Experts by experience

995 This guidance is a joint endeavour between Parkinson's UK and RCSLT. RCSLT are grateful for the 996 support of Parkinson's UK in developing this much needed guidance.

#### Parkinson's UK

997 998

#### 999 9.2 Development

- 1000 Communication in PwP can be affected at any stage of the disease process with consequent
- significant impact on family, work and social roles. This can be due to changes in motor aspectsof speech (such as voice volume, articulatory clarity or overall intonation) as well as to subtle
- 1002 of speech (such as voice volume, articulatory clarity or overall intonation) as1003 changes in posture and facial expression.
- Similarly, EDS is a frequent and clinically relevant symptom of early to advanced stages. It canlead to aspiration pneumonia and therefore its management should be prioritised.
- 1006 Timely and accurate advice and guidance at every stage of a progressive disease can aid not just1007 the PwP but also their family/social and work circles.
- 1008 The drivers for this guidance are:
- Recent evidence for effective speech and swallowing interventions and the overall value of exercise in reducing the severity of symptoms has dramatically increased over the last 10 years (Ahlskog, 2018; Tsukita et al, 2022; Perry et al, 2024; Sackley et al, 2024; Flynn et al, 2022; Li et al, 2023).
- The use of technology for assessment (use of speech as a biomarker to aid diagnosis) for
   treatment (cueing and biofeedback) and for access to therapy has increased (Rusz et al,
   2024).
- The only available relevant clinical guidelines for the areas of swallowing and
   communication for PwP are the Dutch guidelines for Speech-Language Therapy in
   Parkinson's by Kalf et al (2011) (English translation), updated <u>2018 but only in Dutch</u>).
- The German Society of Neurology has issued the guideline for the diagnosis and
   treatment of neurogenic dysphagia and specifically the management of PwP (Dziewas et al, 2021).



- The progress since the last Cochrane Review (2012) is significant for communication and eating, drinking and swallowing (EDS).
- Additionally, a systematic review may rightly focus on evidence for therapy based on randomised
  controlled trials, whereas the scope of this clinical guidance is much wider, considering the
  following:
- 1027 assessment and treatment strategies • the ICF model of disease 1028 1029 • the PwP and their caregiver's perspective • linking the available therapies with the individual needs of the PwP and their journey. 1030 1031 In summary, this guidance aims to provide decision support for: 1032 • clinicians who need to know what, when and how to assess, advise and treat PwP 1033 • PwP who need to know when to ask for speech and language therapy and what to expect as well as what they can do for themselves 1034 • neurologists/GPs who need to know when to refer to an SLT. 1035 1036 9.3 Intended outcome for SLTs 1037 The intended outcome is to increase knowledge and confidence on the available evidence for the 1038 assessment and management of eating, drinking, swallowing, communication and saliva 1039 problems for PwP to: 1040 strive for equality of access to therapies with good evidence 1041 1042 understand the risks of deviating from the guidance for the care of PwP support service development for specialist clinical care provision 1043 • acknowledge 'red flags' including when to refer on 1044 encourage the development of multidisciplinary working 1045 identify areas of further development both clinically and in research. 1046 1047 9.4 Intended outcomes for PwP 1048 The intended outcomes for PwP are to: 1049 1050 • understand the way Parkinson's can affect communication in general including speech, 1051 EDS and saliva control 1052 provide PwP and their caregivers with information on what the available treatments are
- 1053 for communication, swallowing and saliva management
  1054 provide PwP and caregivers with the necessary information to request access to therapies
- encourage ways to self-manage, including ways to motivate themselves to maintain the
   gains from therapy.

1058



#### 9.5 Intended outcomes for clinicians, health commissioners and 1059 other health care professionals 1060

1061 The intended outcomes for clinicians, health commissioners and other health care professionals 1062 are to:

- 1063 ٠
  - inform referrers about the best treatments for PwP
- 1064 inform about the risks of not adhering to national and international guidance
- 1065 encourage specialist service multidisciplinary team working •
  - support the links between inpatient, outpatient and community care •
  - inform clinicians about red flags/signs to monitor and be aware of. •
- 1067 1068

1066

#### 9.6 Implementation 1069

1070 While studies continue to examine the optimal dosing of, targets for and delivery models of

speech and swallowing intervention for PwP (Sackley et al, 2024), there are studies that show 1071

1072 swallowing and communication issues of PwP are under-addressed by rehabilitation referrals

- 1073 (Roberts et al, 2021).
- 1074 PwP who self-identify as having difficulties with activities of daily living, work-related or leisure
- 1075 activities, eating and drooling are less likely to receive rehabilitation than those reporting mobility
- 1076 issues (Nijrake et al, 2008). Despite evidence supporting multidisciplinary and proactive
- 1077 rehabilitation in Parkinson's, most referrals are made to a single service as a reaction to falls or
- 1078 severe dysphagia. Opportunities for optimising care through proactive rehabilitation
- 1079 interventions are missed (Roberts et al, 2021).
- This guidance aims to outline the best available evidence for the management of communication 1080
- 1081 and swallowing and saliva problems in PwP throughout the disease process and with
- 1082 consideration of the support needs of family and caregivers.
- 1083

#### 9.7 Selecting speech and language therapy measurement tools and 1084 sources of evidence 1085

- 1086 The group developed this guidance according to international standards for guideline
- 1087 development, mainly the Grade of Recommendations Assessment, Development and Evaluation 1088 (GRADE). The group decided on the key questions and the outcomes that matter the most for the 1089 population group of the guidance.
- 1090 Four separate searches utilising Pubmed, Embase and PsychInfo databases (through the Ovid
- 1091 interface) according to the Preferred Reporting Items for Systematic Reviews and Meta-analysis
- 1092 (PRISMA guidelines) were performed in August 2024. The search syntax encompassed a range of
- 1093 keywords related to speech, voice, swallowing and saliva, Parkinson's, atypical parkinsonism, PSP,
- 1094 MSA, CBS. Restrictions were applied for publication dates (1990-2024), peer-reviewed journal



articles and relevant book chapters, written in English. The following inclusion criteria wereestablished for the review:

- studies should involve cohorts of more than three people diagnosed with Parkinson's or atypical Parkinson's
- 1099 2. participants should be adults only
- studies must investigate the impact of pharmacological, surgical or behavioural
   treatments on communication, swallowing and saliva control through clinical rating
   scales, perceptual or acoustic analyses or specialised questionnaires
- 1103 4. no reviews or meta-analyses can be included as primary evidence.
- 1104 The group then rated the data according to the evidence that best applied to each outcome

1105 (considering the risk of bias), as strong or weak, in favour of or against an intervention. Strong

1106 recommendations suggested that all or almost all members would choose that intervention.

- 1107 Recommendations were more likely to be weak when the certainty of the evidence was low,
- 1108 when there was a close balance between desirable and undesirable consequences, when there
- 1109 was substantial variation or uncertainty in patient values and preferences and when
- 1110 interventions required considerable resources.
- 1111 Quality assessments of the included studies were performed with the adjusted Parkinson's-
- 1112 specific assessment form designed by Den Brok et al (2015), which was based on the Newcastle-
- 1113 Ottawa quality assessment scale (Wells et al). The scores ranged from 0 to 22 and higher scores
- 1114 indicated better study quality.
- 1115

### 1116 **9.8 Limitations of the guidance**

- 1117 This guidance has some limitations that need to be considered:
- The assessment and treatment of people with atypical Parkinson's is limited to case studies and observations. We have included as much as possible, but it is not an exhaustive account, or indeed strong evidence. It should be used as evidence for the need for further studies.
  - This guidance is not intended for university curriculum guidance. Its primary aim is clinical guidance and not academic.
- Communication and, to a lesser extent, swallowing, can be affected by pharmacological and surgical management considerations. This guidance can refer to some of the recent literature on the effects of these treatments on communication and EDS but it cannot
   provide a comprehensive account of all the factors involved.
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